

Mini-Review

**Clinical significance and utility of neck circumference measurement
in the dental clinic setting**Matthew Vial¹, Nicolette Rizzuto¹, Karl Kingsley², Joshua M. Polanski^{2*}

¹ Department of Clinical Sciences at the University of Nevada, Las Vegas - School of Dental Medicine, 1700 W Charleston Avenue, Las Vegas, Nevada, 89106, USA, (702) 774-2466.

² Department of Biomedical Sciences at the University of Nevada, Las Vegas - School of Dental Medicine, 1001 Shadow Lane, Las Vegas, Nevada, 89106, USA, (702) 774-2623.

There are numerous screening tools and measures used in modern dental care facilities, which are often focused specifically on the identification of oral health and disease markers. A simple screening technique for measuring neck circumference can generate clinical data that is useful for identifying patients who may or may not benefit from mandibular splints and mouth guards for the treatment of sleep apnea. In addition to reducing overall patient costs and improving both efficiency and effectiveness – this biometric measurement is also useful as a systemic health risk indicator for a number of other common disorders including high blood pressure, cardiovascular disease, obesity, diabetes and metabolic syndrome. Based upon this information, the primary goal of this review is to evaluate the evidence to determine if tape measurement of neck circumference will provide additional, specific and quantifiable increases in health risk disclosures and increase patient referrals for additional screening due to elevated risk for undiagnosed health conditions. The evidence regarding this topic strongly supports the theory that incorporation of an additional, non-oral screening tool may prompt discussion of why this procedure is being done and, more specifically, may lead to disclosure of additional systemic health conditions and risks which are not typically disclosed in the traditional dental office screening protocol – this may also influence the number and type of referrals for additional screenings based on the clinical findings and patient discussion. The incorporation of screening for systemic health risk factors in the dental office, such as higher than average neck circumference, may have the potential to advance patient health and wellness in a number of different, but cost-effective, ways.

Keywords: obesity, neck circumference, measurement, sleep apnea, dental

* Correspondence: Joshua M. Polanski, Department of Biomedical Sciences, , University of Nevada, Las Vegas - School of Dental Medicine. 1001 Shadow Lane B227, Las Vegas, Nevada 89106, USA. Phone: (702) 774-2550 Fax: (702) 774-2721. Email: Joshua.Polanski@unlv.edu.

Introduction

The modern dental office can be an effective site for interprofessional collaboration and the integration of low-cost clinical screening tools that facilitate risk factor screening for systemic health and disease [1, 2]. For example, periodontitis is often the first clinical sign that a patient has diabetes, showing up before other systemic symptoms appear; several studies have shown that individuals with periodontitis are also at a greater risk of developing cardiovascular disease, relative to control groups [3-5]. For example, several studies have provided clear and convincing evidence that dental professionals may not only play a significant role in the co-management and treatment of patients with sleep apnea – but may also provide the primary sites for screening patients most at risk who have not yet been identified [6,7]. The traditional role of dentists and dental professionals has been limited to the creation of mandibular splints or mouth guards for the clinical treatment of sleep apnea. However, these devices typically provide symptom improvement in only half of all patients due to other independent risk factors that significantly influence patient outcomes, such as neck circumference [8].

The incorporation of screening for systemic health risk factors in the dental office, such as higher than average neck circumference, may have the potential to advance patient health and wellness in a number of different ways [9]. For example, incorporation of this simple, non-invasive tape measuring in the

dental office setting may not only be a useful biometric tool for identifying patients at risk for sleep apnea, but may also provide clinical measurements to predict which patients are more or less likely to benefit from mandibular splints and mouth guards thereby improving efficiency and effectiveness and reducing overall patient costs [10,11].

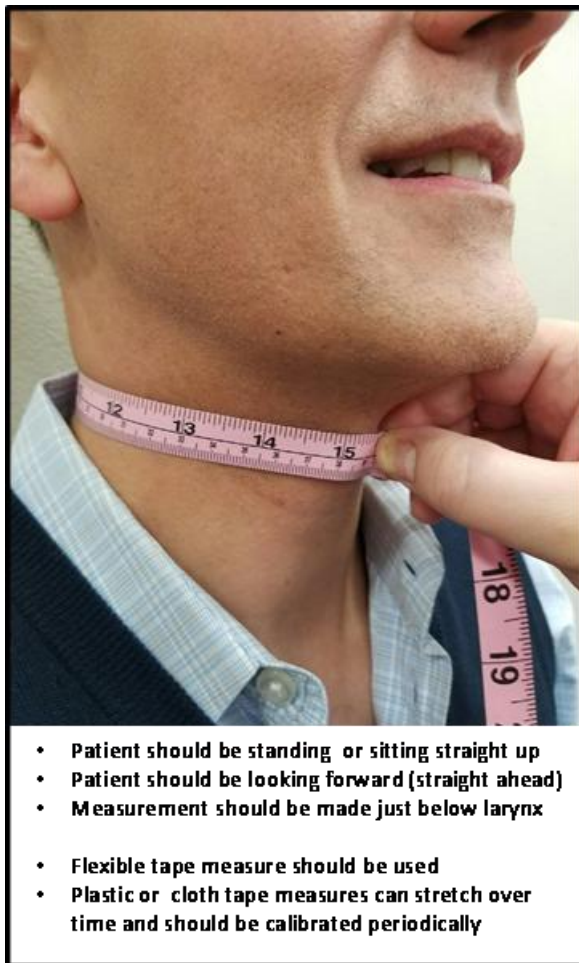
In addition, despite preventive medical care is becoming more prevalent in recent years due to changes in insurance and access to care – many racial and ethnic disparities found in access to medical care may be reduced or absent in routine dental care and dental checkups, which suggests these may be sites where low-cost, effective, expedient biometric measurements may be quickly and easily collected and assessed [12,13].

One cause of sleep apnea is the thickening of the lateral walls of the pharynx due to the increased volume and area of pharyngeal fat and the increased size of the musculature of the pharynx. These enlarged structures and increased subcutaneous fat can cut off the patients airway, leading to sleep apnea [14,15]. Mandibular repositioning devices help to combat sleep apnea by acting to keep the airway open. The splints protrude the mandible slightly; this action increases the upper airway volume, widens the lateral dimensions of the velopharynx, and stretches the tongue muscles to counteract tongue relapse [8,16-19]. Furthermore, measurement of neck circumference is useful not only for identifying patients at risk for

* Correspondence: Joshua M. Polanski, Department of Biomedical Sciences, , University of Nevada, Las Vegas – School of Dental Medicine. 1001 Shadow Lane B227, Las Vegas, Nevada 89106, USA. Phone: (702) 774-2550 Fax: (702) 774-2721. Email: Joshua.Polanski@unlv.edu.

sleep apnea or the patients more likely to benefit from mandibular splints for the treatment of their apnea -- but may be most useful as a systemic health risk indicator for a number of other disorders. Upper body subcutaneous fat, such as that found in the neck, is more indicative of metabolic risk than is visceral fat. Additionally, subcutaneous fat levels are the primary determinant of the levels of free fatty acid systemic concentration levels in the body; high levels of these are pathogenic risk factors for a variety of health issues, including insulin resistance, oxidative stress, and vascular injury [20-23]. In fact, neck circumference has recently been demonstrated as an independent predictor of fasting blood glucose, low- and high-density lipoproteins, total cholesterol and triglyceride serum concentrations [24]. These results strongly suggest the utility and relevance of incorporating neck circumference measurement into standard practice in both medical and dental settings [6,9,20].

Although more detailed and expensive methods such as computer-aided tomography exist, the most common technique for measuring neck circumference can be done with minimal training using inexpensive and readily available tape measures [25,26]. Large scale studies, such as the National Health and Nutrition Examination Survey (NHANES) and the Framingham Heart Study describe the standardized measuring procedures that could be incorporated into a periodic dental exam. Neck circumferences can be measured by applying tape measures perpendicular to the long axis of the neck, midway between the midcervical spine and midanterior neck, just below the laryngeal prominence [27] (Fig. 1). This data provides preliminary data that allow for categorization into low- (<34/38 cm for women/men) and high-risk (>34/38 for women/men) groups based on this single measurement [28,29].



- Patient should be standing or sitting straight up
- Patient should be looking forward (straight ahead)
- Measurement should be made just below larynx
- Flexible tape measure should be used
- Plastic or cloth tape measures can stretch over time and should be calibrated periodically

Figure 1. Measurement of neck circumference. Standard measuring tapes provide easy, inexpensive measurements of patient neck circumference without the invasive or expensive equipment or tests. Measurements should be made near or just below the larynx.

More specifically, large scale studies of neck circumference have demonstrated neck circumference of males averages between approximately 37.4 to highs around 40.5 cm +/- 3 and from 32.46 to 34.2 cm +/- 2.5 among females [24,27]. Although more precise linear correlations were found to establish escalating risk in groups, simple measurement of neck circumference above 38 cm for men and 34 cm for women was associated with increased risk for cardio-metabolic risk factors and was predictive for most of the systemic health risks previously discussed [23,30]. Moreover, these measurements provide reliable, inexpensive data to determine which obstructive sleep apnea patients might not benefit from expensive and unnecessary procedures, such as polysomnography due to

excessive compartmental neck fat accumulation [6, 8,31].

Health Prompt

Obesity and metabolic syndrome has become a very real problem in the US, even costing an estimated \$147 billion in 2008 in obesity related medical expenditures [32]. Obesity is a leading risk factor for many conditions such as diabetes, atherosclerosis, fatty liver disease, and other cardio-metabolic syndromes. However, testing for obesity levels can be easily done with simple anthropometric tests such as measuring neck circumference that can act as early predictors for obesity and these kinds of conditions. One study even concluded that neck circumference was a significant risk factor for

fatty liver disease regardless of BMI, which is a commonly used determinant of obesity [28].

Conclusions

Many people fail to realize that dentists are also doctors, (and have a scientific understanding for human anatomy and physiology outside of the oral cavity) and that the doctors they think of when they go to get a check-up are physicians. In part, this is because of the perception that dentists only work with teeth and are clueless to the rest of the human body. In reality, the oral cavity is a very complex part of the body which often shows symptoms of several systemic diseases including diabetes, osteoporosis, some heart conditions, many head and neck cancers and so on, which most dentists are trained to recognize. In fact, there is evidence of links between diabetes mellitus and the susceptibility of the soft tissues and bone supporting teeth, as well as strong evidence of periodontal disease being associated with increased cardiovascular morbidity [33]. Furthermore, routine oral cancer screenings performed during annual dental exams result in reduced mortality rates when oral cancer is detected early [34]. Having dentists do some basic baseline testing such as neck circumference to determine risk for obesity-related conditions would help improve the perception of dentists as more than ‘tooth doctors’.

Competing interests

The authors declare that they have no competing interests.

Acknowledgments

None

References

1. Emmert, M. C. and Cai, L. Journal of interprofessional care. A Pilot Study to Test the Effectiveness of an Innovative Interprofessional Education Assessment Strategy. 2015:1-6.
2. Braun, P. A., Kahl, S., Ellison, M. C., Ling, S., Widmer-Racich, K. and Daley, M. F. Journal of public health dentistry. Feasibility of Colocating Dental Hygienists into Medical Practices. 2013; 73:187-194.
3. Lamster, I. B., Lalla, E., Borgnakke, W. S. and Taylor, G. W. The Journal of the American Dental Association. The Relationship between Oral Health and Diabetes Mellitus. 2008; 139, Supplement 5:19S-24S.
4. Paquette, D. W., Bell, K. P., Phillips, C., Offenbacher, S. and Wilder, R. S. Journal of Dental Education. Dentists' Knowledge and Opinions of Oral-Systemic Disease Relationships: Relevance to Patient Care and Education. 2015; 79:626-635.
5. Lalla, E., Kunzel, C., Burkett, S., Cheng, B. and Lamster, I. B. Journal of Dental Research.

- Identification of Unrecognized Diabetes and Pre-Diabetes in a Dental Setting. 2011; 90:855-860.
6. Friedlander, A., Friedlander, I. and Pogrel, M. *British dental journal. Medical Matters: Dentistry's Role in the Diagnosis and Co-Management of Patients with Sleep Apnoea/Hypopnoea Syndrome.* 2000; 189:76-80.
7. Chervin, R. D. and Guilleminault, C. *Neurologic clinics. Obstructive Sleep Apnea and Related Disorders.* 1996; 14:583-609.
8. Sutherland, K. and Cistulli, P. *Swiss Med Wkly. Mandibular Advancement Splints for the Treatment of Sleep Apnea Syndrome.* 2011; 141:w13276.
9. Abbott, D. M. *Compendium of continuing education in dentistry (Jamesburg, NJ: 1995). Advancing Wellness in the Dental Office through Use of Screening and Diagnostic Technology.* 2012; 34:741-5; quiz 746.
10. Hingorjo, M. R., Qureshi, M. A. and Mehdi, A. *JPMA-Journal of the Pakistan Medical Association. Neck Circumference as a Useful Marker of Obesity: A Comparison with Body Mass Index and Waist Circumference.* 2012; 62:36.
11. Banabilh, S. M., Suzina, A., Dinsuhaimi, S., Samsudin, A. and Singh, G. *Sleep and Breathing. Craniofacial Obesity in Patients with Obstructive Sleep Apnea.* 2009; 13:19-24.
12. Cohen, L. A. and Manski, R. J. *Family Medicine-Kansas City. Visits to Non-Dentist Health Care Providers for Dental Problems.* 2006; 38:556.
13. Zur, J. and Jones, E. *The Journal of pediatrics. Racial and Ethnic Disparities among Pediatric Patients at Community Health Centers.* 2015.
14. Dempsey, J. A., Veasey, S. C., Morgan, B. J. and O'Donnell, C. P. *Physiological Reviews. Pathophysiology of Sleep Apnea.* 2010; 90:47-112.
15. Sanner, B. M., Heise, M., Knoblen, B., et al. *European Respiratory Journal. Mri of the Pharynx and Treatment Efficacy of a Mandibular Advancement Device in Obstructive Sleep Apnoea Syndrome.* 2002; 20:143-150.
16. Ferguson, K. A., Cartwright, R., Rogers, R. and Schmidt-Nowara, W. *Sleep Oral Appliances for Snoring and Obstructive Sleep Apnea: A Review.* 2006; 29:244.
17. Idris, G., Galland, B., Robertson, C. J. and Farella, M. *Frontiers in Physiology. Efficacy of a Mandibular Advancement Appliance on Sleep Disordered Breathing in Children: A Study Protocol of a Crossover Randomized Controlled Trial.* 2016; 7.
18. Makihara, E., Kawano, T., Miyajima, R., Masumi, S. i., Enciso, R. and Clark, G. T. *Clinical and Experimental Dental Research. Assessment of Oral Appliance for Obstructive Sleep Apnea Patients.* 2016; 2:155-161.
19. Bartolucci, M. L., Bortolotti, F., Raffaelli, E., D'Antò, V., Michelotti, A. and Alessandri Bonetti, G. *Sleep and Breathing. The Effectiveness of Different Mandibular Advancement Amounts in Osa Patients: A Systematic Review and Meta-Regression Analysis.* 2016; 20:911-919.
20. Ferretti, R. L., Cintra, I. P., Passos, M. A., Ferrari, G. L. and Fisberg, M. *BMC public health. Elevated Neck Circumference and Associated Factors in Adolescents.* 2015; 15:208.
21. Stabe, C., Vasques, A. C. J., Lima, M. M. O., et al. *Clinical endocrinology. Neck Circumference as a*

- Simple Tool for Identifying the Metabolic Syndrome and Insulin Resistance: Results from the Brazilian Metabolic Syndrome Study. 2013; 78:874-881.
22. Katz, S. L., Vaccani, J.-P., Clarke, J., Hoey, L., Colley, R. C. and Barrowman, N. J. BMC pediatrics. Creation of a Reference Dataset of Neck Sizes in Children: Standardizing a Potential New Tool for Prediction of Obesity-Associated Diseases? 2014; 14:159.
23. Torriani, M., Gill, C. M., Daley, S., Oliveira, A. L., Azevedo, D. C. and Bredella, M. A. The American journal of clinical nutrition. Compartmental Neck Fat Accumulation and Its Relation to Cardiovascular Risk and Metabolic Syndrome. 2014; 100:1244-1251.
24. Zhou, J., Ge, H., Zhu, M., et al. Cardiovasc Diabetol. Neck Circumference as an Independent Predictive Contributor to Cardio-Metabolic Syndrome. 2013; 12:10.1186.
25. Rosenquist, K. J., Theriksen, K. E., Massaro, J. M., Hoffmann, U. and Fox, C. S. Journal of the American Heart Association. Development and Reproducibility of a Computed Tomography-Based Measurement for Upper Body Subcutaneous Neck Fat. 2014; 3:e000979.
26. Cornier, M.-A., Després, J.-P., Davis, N., et al. Circulation. Assessing Adiposity a Scientific Statement from the American Heart Association. 2011; 124:1996-2019.
27. Preis, S. R., Massaro, J. M., Hoffmann, U., et al. The Journal of Clinical Endocrinology & Metabolism. Neck Circumference as a Novel Measure of Cardiometabolic Risk: The Framingham Heart Study. 2010; 95:3701-3710.
28. Huang, B.-x., Zhu, M.-f., Wu, T., et al. PloS one. Neck Circumference, Along with Other Anthropometric Indices, Has an Independent and Additional Contribution in Predicting Fatty Liver Disease. 2015; 10.
29. Yang, S. and Shen, X. Archives of osteoporosis. Association and Relative Importance of Multiple Obesity Measures with Bone Mineral Density: The National Health and Nutrition Examination Survey 2005–2006. 2015; 10:1-8.
30. Abdolahi, H., Iraj, B., Mirpourian, M. and Shariatifar, B. Advanced biomedical research. Association of Neck Circumference as an Indicator of Upper Body Obesity with Cardio-Metabolic Risk Factors among First Degree Relatives of Diabetes Patients. 2014; 3.
31. Sahin, M., Bilgen, C., Tasbakan, M. S., Midilli, R. and Basoglu, O. K. International journal of otolaryngology. A Clinical Prediction Formula for Apnea-Hypopnea Index. 2014; 2014.
32. Finkelstein, E. A., Trogdon, J. G., Cohen, J. W. and Dietz, W. Health affairs. Annual Medical Spending Attributable to Obesity: Payer-and Service-Specific Estimates. 2009; 28:w822-w831.
33. Kidambi, S. and Patel, S. B. The Journal of the American Dental Association. Diabetes Mellitus: Considerations for Dentistry. 2008; 139, Supplement 5:8S-18S.
34. Tax, C. L., Haslam, S. K., Brilliant, M. G. S., Doucette, H. J., Cameron, J. E. and Wade, S. E. International Journal of Dental Hygiene. Oral Cancer Screening: Knowledge Is Not Enough. 2015:n/a-n/a.



This work is licensed under a Creative Commons Attribution 4.0 International License. The

images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons

license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit

<http://creativecommons.org/licenses/by/4.0/>